

The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

APRIL 28, 2005

VOL. 352 NO. 17

Respiratory Syncytial Virus Infection in Elderly and High-Risk Adults

Ann R. Falsey, M.D., Patricia A. Hennessey, R.N., Maria A. Formica, M.S., Christopher Cox, Ph.D.,
and Edward E. Walsh, M.D.

ABSTRACT

BACKGROUND

Respiratory syncytial virus (RSV) is an increasingly recognized cause of illness in adults. Data on the epidemiology and clinical effects in community-dwelling elderly persons and high-risk adults can help in assessing the need for vaccine development.

METHODS

During four consecutive winters, we evaluated all respiratory illnesses in prospective cohorts of healthy elderly patients (≥ 65 years of age) and high-risk adults (those with chronic heart or lung disease) and in patients hospitalized with acute cardiopulmonary conditions. RSV infection and influenza A were diagnosed on the basis of culture, reverse-transcriptase polymerase chain reaction, and serologic studies.

RESULTS

A total of 608 healthy elderly patients and 540 high-risk adults were enrolled in prospective surveillance, and 1388 hospitalized patients were enrolled. A total of 2514 illnesses were evaluated. RSV infection was identified in 102 patients in the prospective cohorts and 142 hospitalized patients, and influenza A was diagnosed in 44 patients in the prospective cohorts and 154 hospitalized patients. RSV infection developed annually in 3 to 7 percent of healthy elderly patients and in 4 to 10 percent of high-risk adults. Among healthy elderly patients, RSV infection generated fewer office visits than influenza; however, the use of health care services by high-risk adults was similar in the two groups. In the hospitalized cohort, RSV infection and influenza A resulted in similar lengths of stay, rates of use of intensive care (15 percent and 12 percent, respectively), and mortality (8 percent and 7 percent, respectively). On the basis of the diagnostic codes of the *International Classification of Diseases, 9th Revision, Clinical Modification* at discharge, RSV infection accounted for 10.6 percent of hospitalizations for pneumonia, 11.4 percent for chronic obstructive pulmonary disease, 5.4 percent for congestive heart failure, and 7.2 percent for asthma.

CONCLUSIONS

RSV infection is an important illness in elderly and high-risk adults, with a disease burden similar to that of nonpandemic influenza A in a population in which the prevalence of vaccination for influenza is high. An effective RSV vaccine may offer benefits for these adults.

From the Department of Medicine, Rochester General Hospital (A.R.F., P.A.H., M.A.F., E.E.W.) and the Department of Medicine, University of Rochester School of Medicine and Dentistry (A.R.F., E.E.W.) — both in Rochester, N.Y.; and the Division of Epidemiology, Statistics, and Prevention Research, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, Md. (C.C.). Address reprint requests to Dr. Falsey at the Infectious Diseases Unit, Rochester General Hospital, 1425 Portland Ave., Rochester, NY 14621, or at ann.falsey@viahealth.org.

N Engl J Med 2005;352:1749-59.

Copyright © 2005 Massachusetts Medical Society.

RESPIRATORY SYNCYTIAL VIRUS (RSV) was first recognized in 1957 as a cause of bronchiolitis in infants and is the most commonly identified cause of lower respiratory tract infection in young children.¹ Mild illness in young adults with reinfection was described and confirmed in subsequent family studies.^{2,3} However, RSV was not recognized as a potentially serious problem in older adults until the 1970s, when outbreaks of the virus occurred in long-term care facilities.⁴⁻⁷ Since then, additional studies in hospitalized adults have suggested that RSV may be an important cause of illness in community-dwelling elderly people.^{8,9} Most previous studies used insensitive viral culture or retrospective serologic studies for diagnosis, a practice that led to widely variable assessments of the incidence and effects of the disease. Recent estimates of the disease burden of RSV in adults have been based on mathematical models linking viral activity in children with hospitalization and death in adults,¹⁰⁻¹⁴ and Thompson et al. have estimated that RSV accounts for approximately 10,000 deaths annually in the United States in persons over the age of 65 years.¹⁴ Although these estimates are useful, their accuracy is confounded by cocirculation of other respiratory viruses with indistinguishable clinical syndromes and is limited by the assumption that viral activity in infants reflects events in elderly populations.¹⁵⁻¹⁷ These results have stimulated interest in vaccines and other treatments for RSV in adults.^{15,18,19} However, additional data regarding virus-specific epidemiology and disease effects, particularly in community-dwelling elderly persons and high-risk adults, are needed before embarking on costly vaccine trials.

Therefore, we identified RSV infections during four consecutive winters in prospective cohorts of community-dwelling elderly people and high-risk adults and in persons hospitalized with acute cardiopulmonary conditions. Identical techniques of diagnosing RSV infection and influenza A were used to assess the disease burden and to provide a direct comparison of RSV infection with influenza A, which is a universally recognized cause of serious disease in the populations mentioned above.

METHODS

STUDY DESIGN

The study spanned four consecutive winters, from late 1999 through early 2003 in Rochester, New York. Three groups of patients were recruited: two

prospective cohorts (healthy elderly persons and high-risk adults) and a hospitalized cohort of patients who were admitted each winter with cardiopulmonary illnesses. Enrollees or their guardians provided written informed consent. The University of Rochester Research Subjects Review Board and the Rochester General Hospital Clinical Investigation Committee approved the study.

ENROLLMENT OF PROSPECTIVE COHORTS

Persons who were 65 years of age or older and did not have disabling underlying illnesses (i.e., healthy elderly persons) and persons 21 years of age or older with physician-diagnosed congestive heart failure or chronic pulmonary disease (i.e., high-risk adults) were enrolled in the late summer and fall (from July 15 to November 15) and were followed for a maximum of two winters. To be considered high risk, patients with cardiac disease were required to have at least class II symptoms according to the New York Heart Association's classification, and patients with pulmonary disease were required to have activity-restricting symptoms or use long-term medications.²⁰ Advertisements and mailings were used to recruit patients from health maintenance organizations and from cardiac and pulmonary rehabilitation programs.

At enrollment, data on the patients' demographic status, medical history, and functional performance were recorded. Functional status was assessed with the use of a modified Katz Activities of Daily Living (ADL) Scale and the Instrumental Activities of Daily Living (IADL) Scale.^{21,22} Baseline blood samples were collected from the prospective groups before the RSV season (from July 15 to November 15) and postseason blood samples were collected the following spring (from April 15 to June 15).

ILLNESS EVALUATION

Prospective Cohorts

Patients notified study personnel regarding symptoms of respiratory illness or worsening of baseline cardiopulmonary symptoms from November 15 through April 15 of each year. Symptoms included nasal congestion, sore throat, hoarseness, new or worsening cough, sputum production, and dyspnea with or without fever. During home visits, investigators conducted a physical examination, collected nasopharyngeal swabs and blood samples, and obtained a history of the illness. Four to six weeks later, patients were questioned about their functional

status and use of health care services (including telephone consultations, office visits, emergency room visits, and hospitalizations). Events related to the use of health care services were recorded as unique if the events were isolated, whereas the highest level of care was counted if the events were directly related.

Hospital Cohort

During the same surveillance periods, all adults 65 years of age or older or with underlying cardiopulmonary diseases who were admitted to Rochester General Hospital with acute respiratory symptoms were evaluated within 48 hours after admission. Enrollment was performed Monday through Friday, so patients who were admitted on Friday were not eligible. Patients with admission diagnoses of pneumonia (*International Classification of Diseases, 9th Revision, Clinical Modification* [ICD-9-CM] codes 480 to 486), upper respiratory infection (ICD-9-CM code 465), bronchitis (ICD-9-CM code 466), influenza (ICD-9-CM code 487), chronic obstructive pulmonary disease (ICD-9-CM codes 490 to 492 and 496), asthma (ICD-9-CM code 493), viral illness (ICD-9-CM code 79.9), or congestive heart failure (ICD-9-CM code 428) were eligible and underwent identical evaluations as prospective cohorts. Patients' functional activity scores before their illness were determined by a review of records of social workers and nurses plus interviews with patients and their families. Patients were followed until discharge from the hospital. Follow-up visits were made at home or in the hospital four to six weeks after the initial assessment to collect data on functional activity and convalescent-phase blood samples.

LABORATORY STUDIES

Samples from nasopharyngeal swabs were inoculated into tubes of HEp-2 cells and rhesus monkey kidney cells within four hours after collection, and the remainder were frozen at -80°C . Cultures were examined daily for cytopathic effects in the clinical microbiology laboratory, and viral growth was confirmed by indirect immunofluorescence with the use of monoclonal antibodies specific for RSV and influenza virus A and B.

Reverse-transcriptase polymerase chain reaction (RT-PCR) for RSV and influenza was performed on 250- μl aliquots of samples from nasopharyngeal swabs with the use of single-tube nested reactions with primers from the conserved region of the RSV fusion and influenza A matrix genes.^{23,24} The limit

of detection for each assay was 0.1 plaque-forming unit.²⁵ RSV subgroups A and B were identified by a strain-specific RT-PCR assay.²⁶

Enzyme immunoassay was used to measure serum IgG responses to purified RSV glycoproteins according to established methods.²⁷ The serum IgG responses to influenza A and B antigens were determined with the use of whole-cell lysate assays infected with influenza virus, as previously described.^{24,28} An increase by a factor of four or more in the IgG titer in response to any RSV antigen or influenza antigen was considered diagnostic.

DEFINITIONS OF ILLNESS

Symptomatic RSV or influenza infections were defined as illnesses with a positive viral culture, a positive RT-PCR assay, or a diagnostic serologic result. Asymptomatic RSV infection was defined as an increase by a factor of four or more in RSV IgG titers in samples obtained after the winter season as compared with those obtained before the winter season in persons without illness or in asymptomatic intervals between illnesses. Interpretation of the serologic results was occasionally complicated by vaccination. During the four years of the study, the timing of influenza vaccination was highly variable because of vaccine shortages or delays. For illnesses that occurred within four weeks after vaccination, the antibody response alone was not sufficient to define influenza infection. Asymptomatic influenza infection was defined as an antibody rise occurring more than four weeks after vaccination during an illness-free interval.

STATISTICAL ANALYSIS

The chi-square test and Fisher's exact test were used to compare proportions. For data with a normal distribution, means were compared with Student's *t*-test; for skewed data, the Mann-Whitney test was used. The Poisson regression model and McNemar's test were used to compare the rates of illness and viral infection.²⁹

RESULTS

POPULATIONS

A total of 608 healthy elderly persons and 540 high-risk adults were enrolled in the prospective surveillance. During the same period, 1483 hospitalized patients were eligible for study, of whom 1388 (94 percent) agreed to participate. Demographic characteristics and admission diagnoses were not sig-

nificantly different for those who declined to participate in the study.

Characteristics of the study populations are summarized in Table 1. The average age in each group was at least 70 years. Of the high-risk patients, 73 percent were 65 years of age or older, 17 percent were between the ages of 55 and 64 years, and 10 percent were 54 years of age or younger. The percentage of persons with exposure to children was higher in the high-risk group than in either the healthy elderly group or the hospitalized group ($P=0.008$), although living situations were similar for the three groups (Table 1). There were significantly lower rates of chronic disease and the use of medication in the healthy elderly cohort than in the high-risk and hospitalized groups. Despite having substantial cardiopulmonary disease, the high-risk group was only slightly less functional than the healthy elderly group. In contrast, the hospitalized group had significantly worse functional scores before hospitalization than did both prospective cohorts ($P<0.001$).

ILLNESSES

During the four winter seasons studied, 2514 cases of illness were evaluated (1043 in prospective patients and 1471 in hospitalized patients). Although the numbers of illnesses in the two prospective groups were similar, rates expressed as cases of illness per 100 person-months were higher in high-risk subjects than in healthy elderly patients because of a greater attrition rate (14.3 cases vs. 10.4, $P<0.001$; relative risk, 1.39; 95 percent confidence interval, 1.23 to 1.57).

During the four winters, the activity of both influenza A and B varied considerably, whereas annual RSV activity was relatively constant (Table 2). The circulating influenza A strains varied considerably during the years of study.³⁰ The 1999–2000 season was dominated by influenza A H3N2 (99.5 percent) in the mid-Atlantic region. During subsequent years, the circulating strains were as follows: 2000–2001, 37 percent influenza A (97 percent H1N1) and 63 percent influenza B; 2001–2002, 88 percent influenza A (98 percent H3N2) and 12 percent influenza B; 2002–2003, 86 percent influenza A (70 percent H1N1) and 14 percent influenza B.

RSV infection was identified in 102 cases of illness involving patients in the prospective cohorts and in 142 cases involving hospitalized patients. Influenza A was diagnosed in 44 cases of illness in-

volving patients in the prospective cohorts and in 154 cases involving hospitalized patients (Table 2). Given the low number of influenza B infections, subsequent discussion will be limited to influenza A and RSV infection. RSV infection developed annually in 3 to 7 percent of the healthy elderly group and in 4 to 10 percent of the high-risk group. There was no significant difference in the rates of RSV infection or influenza A among patients in their first year of surveillance as compared with their second year. Two patients in the prospective cohorts had two cases of RSV infection each, and four patients had influenza A and RSV infection at different times. The number of patients with RSV infection in the prospective cohorts was approximately twice that of patients with influenza A (97 vs. 39, $P<0.001$). There were 1.5 RSV infections per 100 person-months in the high-risk group and 0.9 in the healthy elderly group ($P=0.02$; relative risk, 1.61; 95 percent confidence interval, 1.09 to 2.39). Influenza A rates were identical in the two prospective cohorts: 0.5 infection per 100 person-months. Despite higher rates of RSV infection in the prospective cohorts, the overall numbers of patients who had RSV infection and influenza in the hospital cohort were similar. Data on the hospital cohort do not include those for patients from the prospective cohorts who were subsequently admitted to the hospital.

VIRAL DIAGNOSIS

Most illnesses (76 percent) were evaluated with all three diagnostic methods (Table 3). Serum from convalescent patients was not available in 18 percent of cases, and nasal specimens were unobtainable in 6 percent. RSV infection was diagnosed with more than one test in 47 percent of cases, RT-PCR alone was used in 20 percent, and serologic testing alone was used in 33 percent. Eleven hospitalized patients had dual infections and were excluded from clinical analyses (nine patients with both RSV infection and influenza A, one with RSV infection and influenza B, and one with influenza A and influenza B). The RSV strain was group A in 73 cases (45 percent) and group B in 89 (55 percent). Both RSV strains circulated each year without significant differences in the percentages of group A and B viruses among mildly ill outpatients and severely ill hospitalized patients.

CLINICAL EFFECTS OF INFECTION IN PROSPECTIVE COHORTS

Eighty-nine percent of RSV infections were symptomatic in the prospective cohorts. Five patients in

Table 1. Characteristics of the Study Cohorts.*

Characteristic	Cohort		
	Healthy Elderly Patients (N=608)	High-Risk Patients (N=540)	Hospitalized Patients (N=1388)
Age — yr	75±6	70±11	75±12
Female sex — no. (%)	349 (57)	248 (46)	767 (55)
Race or ethnic group — no. (%)			
White	592 (97)	505 (94)	1170 (84)
Black	13 (2)	29 (5)	133 (10)
Hispanic	1 (<1)	5 (<1)	76 (5)
Other	2 (<1)	1 (<1)	9 (1)
Living situation — no. (%)			
Alone	158 (26)	147 (27)	370 (27)†
Only adults in the household	437 (72)	361 (67)	916 (66)
Children in the household	13 (2)	32 (6)	97 (7)
Exposure to children — no. (%)‡	350 (58)	353 (65)	579 (52)†
Chronic illness — no. (%)			
Any cardiac disease	100 (16)	258 (48)	758 (55)
Congestive heart failure	3 (<1)	84 (16)	418 (30)
Lung disease	11 (2)	349 (65)	813 (59)
Any heart or lung disease	110 (18)	540 (100)	1182 (85)
Diabetes mellitus	60 (10)	88 (16)	399 (29)
Smoking (current or past) — no. (%)	336 (55)	439 (81)	979 (74)†
Previous vaccination — no. (%)			
Influenza vaccine	572 (94)	508 (94)	977 (78)†
Pneumococcal vaccine	532 (88)	449 (83)	882 (76)†
Medications — no. (%)			
Oral corticosteroids§	10 (2)	64 (12)	205 (15)
Bronchodilators¶	2 (<1)	257 (48)	694 (50)
Home oxygen	0	103 (19)	325 (23)
Katz ADL score	0.04±0.2	0.05±0.29	1.3±2.8
IADL score	0.31±1.1	1.2±2.2	3.8±4.1
Withdrawal from the study — no. (%)	40 (7)	70 (13)	NA
Death — no. (%)	13 (2)	32 (6)	NA

* Plus-minus values are means ±SD. NA denotes not applicable. Percentages may not sum to 100 because of rounding. Race and ethnic group were determined by the investigators.

† Data were missing for these patients, so the percentages reflect the following denominators: living situation, 1383; exposure to children, 1120; smoking, 1330; influenza vaccine, 1251; pneumococcal vaccine, 1155.

‡ Exposure to children was defined as contact with school-age children at least once a month.

§ Oral corticosteroids were recorded if patients took them on a daily basis.

¶ Two healthy elderly patients received bronchodilators for unclear reasons and had no respiratory symptoms that restricted their activities.

|| The Katz score for Activities of Daily Living (ADL) and the score for Instrumental Activities of Daily Living (IADL) are functional assessments based on a 12-point scale, with 0 representing total independence and 12 representing total dependence.

the healthy elderly group and six patients in the high-risk group had serologic evidence of infection without reported illnesses. Similarly, 91 percent of patients with influenza were symptomatic.

The symptoms and signs of RSV infection and those of influenza were not substantially different (data not shown).

The effects of RSV infection were considerable

Table 2. Rates of Illness and Infection According to Year of Study.

Year of Study	Healthy Elderly Patients	High-Risk Patients	Hospitalized Patients
1999–2000			
No. of patients in cohort	212	206	274
No. of cases of illness	123	146	289
RSV infection — no. of cases (% of cohort)	12 (6)	20 (10)	21 (8)*
Influenza A — no. of cases (% of cohort)	5 (2)	5 (2)	55 (20)*
Influenza B — no. of cases (% of cohort)	0	0	1 (<1)
2000–2001			
No. of patients in cohort	280	271	296
No. of cases of illness	159	160	307
RSV infection — no. of cases (% of cohort)	20 (7)	18 (7)	39 (13)*
Influenza A — no. of cases (% of cohort)	5 (2)	1 (<1)	4 (1)*
Influenza B — no. of cases (% of cohort)	5 (2)	7 (3)	4 (1)†
2001–2002			
No. of patients in cohort	180	195	434‡
No. of cases of illness	102	115	465
RSV infection — no. of cases (% of cohort)	5 (3)	7 (4)	45 (10)§
Influenza A — no. of cases (% of cohort)	7 (4)	9 (5)	77 (18)¶
Influenza B — no. of cases (% of cohort)	2 (1)	5 (3)	9 (2)
2002–2003			
No. of patients in cohort	295	210	384‡
No. of cases of illness	135	103	410
RSV infection — no. of cases (% of cohort)	9 (3)	11 (5)	37 (10)
Influenza A — no. of cases (% of cohort)	7 (2)	5 (2)	18 (5)
Influenza B — no. of cases (% of cohort)	0	0	2 (<1)
Total			
No. of patients in cohort	608**	540**	1388
No. of cases of illness	519	524	1471
RSV infection — no. of cases	46	56	142
Influenza A — no. of cases	24	20	154
Influenza B — no. of cases	7	12	16

* One patient had evidence of infection with both influenza A and RSV.
 † One patient had evidence of infection with both influenza B and RSV.
 ‡ The hospital cohort increased in years 3 and 4 because of an increase in admissions due to closure of a local hospital.
 § Five patients had evidence of infection with both influenza A and RSV.
 ¶ One patient had evidence of infection with both influenza A and B, and five patients had evidence of infection with both influenza A and RSV.
 || Three patients had evidence of infection with both influenza A and RSV.
 ** Patients in the prospective groups were followed for a maximum of two winter seasons, so the number of patients in each cohort who were followed each year do not add up to the total number of patients enrolled in the cohort during four years.

in both the healthy elderly group and the high-risk group (Table 4). Fifteen percent of patients in the healthy elderly group called their physician and 17 percent made office visits, although none of them required emergency room or inpatient services. The use of medical care was significantly greater in the high-risk group: 23 percent called the doctor, 29 percent made office visits, 9 percent visited the emergency room, and 16 percent required hospitalization. Two high-risk patients with RSV infection

(4 percent) died. The mean duration of illness was approximately two weeks in both groups, and 39 percent of patients in the healthy elderly group and 45 percent in the high-risk group were unable to perform the normal activities of daily living for at least one day. The proportion of patients with acute functional impairment was substantially greater in the high-risk group with RSV infection than in the healthy elderly group.

Among the healthy elderly patients, influenza A infection generated a greater percentage of office visits than did RSV infection (42 percent vs. 17 percent, $P=0.04$) and a higher rate of antibiotic use (33 percent vs. 9 percent, $P=0.02$). Among high-risk patients, the use of medical care was similar in the RSV and influenza A subgroups, although office visits were more common in the influenza A subgroup (60 percent vs. 29 percent, $P=0.02$). However, because RSV infection occurred more frequently than influenza A, the total number of health care visits (office visits, emergency room visits, and hospitalizations) was similar for the two viruses, at 38 and 30, respectively. Acute functional impairment in the healthy elderly group was also greater with influenza than it was with RSV infection, with 67 percent of patients housebound ($P=0.005$) and 25 percent confined to bed ($P=0.05$). None of the patients in the healthy elderly group with influenza were hospitalized or died, whereas 20 percent of high-risk patients were hospitalized.

CLINICAL EFFECTS OF INFECTION WITH RSV AND INFLUENZA A IN HOSPITALIZED PATIENTS

Demographic and clinical characteristics of patients with RSV infection and patients with influenza requiring hospitalization were not significantly different (Table 5). Twelve percent of patients with RSV infection and 10 percent of patients with influenza were residents of long-term care facilities, a finding that was similar to that of the hospital cohort in general (8 percent). The clinical effects of RSV infection and influenza in the hospitalized cohorts were also similar, as assessed by the length of stay; whether the patient had pneumonia, was in intensive care, or required mechanical ventilation; and mortality. The mortality among patients with RSV infection was higher in the group admitted from long-term care facilities (38 percent) than in the group admitted from the community (3 percent, $P<0.001$), whereas among patients with influenza, there was no significant difference between the two groups. Five percent of patients with RSV infection

Table 3. Diagnostic Tests.

Test	RSV Infection	Influenza A	Influenza B
	<i>no. of patients with positive test/total no. tested (%)</i>		
Viral culture	64/2356 (3)	80/2356 (3)	18/2356 (<1)
RT-PCR*	163/2355 (7)	154/2354 (7)	Not done
Serologic test	183/2058 (9)	120/2051 (6)	29/2051 (1)
Total infections diagnosed by any method	244/2514 (10)	198/2514 (8)	35/2514 (1)

* RT-PCR denotes reverse-transcriptase polymerase chain reaction.

and 6 percent of patients with influenza required a higher level of care at discharge than at admission. The mean IADL functional scores increased slightly after hospitalization for patients with RSV infection (4.1 ± 4.1 to 4.9 ± 4.0), and a similar trend was observed among patients with influenza. Overall, according to the diagnosis at discharge, RSV infection accounted for 10.6 percent of hospitalizations for pneumonia, 11.4 percent for chronic obstructive pulmonary disease, 5.4 percent for congestive heart failure, and 7.2 percent for asthma.

DISCUSSION

This four-year prospective, diagnosis-specific study firmly documents that RSV is an important pathogen in elderly and high-risk adults. Although pediatricians are keenly aware that RSV may cause serious illness in their patients, most internists are less aware of RSV. Given the difficulty with diagnosis in clinical practice and the lack of treatment, this lack of awareness is understandable. However, from a public health perspective, our study shows the substantive disease burden associated with this virus and validates the results of earlier, mathematically derived estimates.

Diagnosis by nested RT-PCR allowed a precise determination of the rates of RSV infection that had not previously been possible. In addition, the use of RT-PCR in this study strengthens the causal link between RSV infection and hospitalization, which can be questioned in serologically based studies. In our study, 61 percent of patients who had an increase in antibodies to RSV also had a positive RT-PCR assay, a finding suggesting that infection occurred shortly before admission. Conversely, since 83 percent of patients with a positive PCR assay for RSV whose serum was tested during convalescence

Table 4. Infections in Healthy Elderly Patients and High-Risk Patients.*

Variable	RSV Infection		Influenza A	
	Healthy Elderly Patients (N=46)	High-Risk Patients (N=56)	Healthy Elderly Patients (N=24)	High-Risk Patients (N=20)
Duration of illness — days	16±8	15±13	16±10	17±10
Contact with health care services — no. (%)				
Telephone call to doctor	7 (15)	13 (23)	4 (17)	9 (45)
Office visit	8 (17)	16 (29)	10 (42)	12 (60)
Emergency room visit	0	5 (9)	2 (8)	2 (10)
Hospitalization	0	9 (16)	0	4 (20)
Medications — no. (%)				
Antipyretic	21 (46)	18 (32)	15 (62)	7 (35)
Cough suppressant	19 (41)	25 (45)	11 (46)	8 (40)
Decongestant	15 (33)	7 (12)	4 (17)	4 (20)
Systemic corticosteroid	1 (2)	12 (21)	0	5 (25)
Bronchodilator	2 (4)	16 (29)	1 (4)	6 (30)
Antibiotic	4 (9)	24 (43)	8 (33)	12 (60)
Findings on chest radiography — no. (%)				
Performed	3 (7)	11 (20)	2 (8)	6 (30)
Infiltrate	1 (2)	4 (7)	0	2 (10)
Congestive heart failure	0	0	0	1 (5)
Other	1 (2)	1 (2)	0	3 (15)
Functional impairment ≥1 day — no. (%)				
Housebound	14 (30)	23 (41)	16 (67)	11 (55)
Confined to bed	3 (7)	14 (25)	6 (25)	5 (25)
Unable to perform activities of daily living	18 (39)	25 (45)	13 (54)	12 (60)
Death — no. (%)	0	2 (4)	0	0

* Plus-minus values are means ±SD. Patients with asymptomatic infections were included in the total number of infections, even though they did not seek medical services.

had an immune response, it is unlikely that detectable viral RNA reflects transient colonization.

The annual incidence of RSV infection in the prospective cohorts averaged 5.5 percent and was relatively constant during the four years and nearly twice that of influenza A. The rate of RSV infection in this study was higher than the 3 percent observed by Nicholson and colleagues in elderly adults in the United Kingdom and probably reflects our use of RT-PCR and a more sensitive serologic test.³¹ It must be recognized that the rate of influenza was probably reduced by the high rate of vaccination in our population. Since immunization is estimated to have an efficacy of approximately 50 percent for the prevention of respiratory illness in elderly persons, influenza cases might have been expected to double without vaccination.³²

Almost all RSV infections were symptomatic, and they frequently led to acute functional impairment and to the use of health care services. One quarter of all healthy elderly patients had contact with a health care provider during their illness; nearly half of all high-risk patients sought medical attention, and 16 percent were hospitalized. Although influenza resulted in greater use of health care services by healthy elderly patients than did RSV infection, differences between RSV infection and influenza were less distinct in the high-risk group, with a similar number of hospitalizations for each type of infection during the four years. However, the effect of the circulating influenza strain was clearly seen. During years 2 and 4, when the dominant strain was H1N1 (one not associated with substantial morbidity and mortality in the elderly), hospitalizations for

RSV infection outnumbered those for influenza.^{14,33} In contrast, when H3N2 influenza was prevalent, in years 1 and 3, admissions for influenza were two to three times as common as those for RSV infection. This finding is consistent with other data indicating that infection with influenza H3N2 but not H1N1 results in a more severe illness than does RSV infection.¹⁴ In addition, the high rate of vaccination for influenza in our community probably affected the rate of hospitalization. The efficacy of vaccination in reducing the rate of hospitalization from influenza is estimated to be 40 to 50 percent.^{34,35} Sixty-eight percent of patients who were hospitalized with influenza reported having been vaccinated, as compared with 75 percent of those with RSV infection, a finding that suggests a more modest vaccine effect. However, even if the number of influenza cases were doubled, rates of hospitalization for RSV and influenza would not be dramatically different.

Overall, during the study period, RSV infection accounted for 11 percent of hospitalizations for pneumonia, 11 percent for chronic obstructive pulmonary disease, 5 percent for congestive heart failure, and 7 percent for asthma. According to the National Center for Health Statistics of the Centers for Disease Control and Prevention, in 1999 the numbers of discharges from U.S. hospitals of adults 65 years of age or older for the diagnoses of pneumonia, chronic obstructive pulmonary disease, congestive heart failure, and asthma were 1.3 million, 0.76 million, 2.5 million, and 0.35 million, respectively.³⁶ By applying our rates and adjusting by 50 percent for surveillance during the winter months, RSV infection would account for approximately 177,525 admissions each year. On the basis of our study, which showed that the death rate for hospitalized patients with RSV infection was 8 percent, 14,000 annual deaths would be predicted, a number similar to that estimated by Thompson et al.¹⁴ Hospitalization costs alone would exceed \$1 billion, an estimate not dissimilar to that made by Han et al.³⁷ Outpatient costs would also probably be substantial given our findings and those of Zambon et al., who reported that up to 18 percent of office visits made by elderly adults for respiratory illnesses during winter were RSV-related.³⁸

In addition to bringing RSV to the attention of internists, our study underscores the continuing importance of yearly influenza epidemics in the expanding elderly and high-risk populations. Pro-

Table 5. Illnesses in Hospitalized Patients.*

Characteristics	RSV (N=132)	Influenza A (N=144)
Age — yr	76±13	76±12
Female sex — no. (%)	84 (64)	81 (56)
Race or ethnic group — no. (%)		
White	117 (89)	125 (87)
Black	10 (8)	12 (8)
Hispanic	5 (4)	6 (4)
Other	0	1 (1)
Chronic illness — no. (%)		
Any cardiac disease	71 (54)	71 (49)
Congestive heart failure	39 (30)	33 (23)
Any lung disease	77 (58)	79 (55)
Any heart or lung disease	106 (80)	113 (78)
Diabetes mellitus	35 (27)	28 (19)
Residence in a long-term care facility — no. (%)	16 (12)	15 (10)
Smoking (current or past) — no. (%)	88 (67)	98 (68)
Previous vaccination — no. (%)		
Influenza vaccine	99 (75)	98 (68)
Pneumococcal vaccine	94 (71)	86 (60)
Katz ADL score — mean ±SD	1.2±2.4	1.3±3.0
IADL score — mean ±SD	4.1±4.1	3.3±4.0
Length of hospital stay — days	14±41†	8±5
Bacterial infection — no. (%)		
Sputum pathogen	20 (15)	17 (12)
Blood culture positive	4 (3)	1 (<1)
Findings on chest radiography — no. (%)		
Infiltrate found	41 (31)	43 (30)
Congestive heart failure	17 (13)	15 (10)
Other	24 (18)	27 (19)
Admission to intensive care unit — no. (%)	20 (15)	17 (12)
Use of mechanical ventilation — no. (%)	17 (13)	15 (10)
Higher level of care at discharge than at admission — no. (%)	7 (5)	8 (6)
Death — no. (%)	10 (8)	10 (7)

* Plus-minus values are means ±SD. The Katz Activities of Daily Living (ADL) score and the Instrumental Activities of Daily Living (IADL) score are functional assessments based on a 12-point scale, with 0 representing total independence and 12 representing total dependence. Percentages may not sum to 100 because of rounding.

† One patient was hospitalized for 450 days. The difference in the length of stay was not significant by the Mann-Whitney test.

grams to improve the efficacy of influenza vaccination and to ensure adequate and timely supplies of vaccine should remain a high priority. However, in order to optimize the reduction in the total burden of viral respiratory disease, we must recognize that other pathogens also contribute to the swell of patients in offices and hospitals during the winter months. The results of this study document the importance of RSV infection in adults and confirm the need for the development of an RSV vaccine for high-risk and elderly adults.

Supported by a grant (R01-AI-45969) from the National Institutes of Health.

Dr. Falsey reports having received consulting fees from Sanofi-Aventis and GlaxoSmithKline and a grant from Sanofi-Aventis. Dr. Walsh reports having received consulting fees from Sanofi-Aventis, Arrow Therapeutics, and Alnylam Pharmaceuticals.

We are indebted to Mary Criddle, R.N., and Anita Gellert, R.N., for evaluations of patients; to Barbara Sikora and Gloria Andolina for technical assistance; to Christine Brower for data management; to the staff and patients at Lifetime Health and Lori Callahan at the Rochester General Hospital Pulmonary Rehabilitation Program for their help in conducting the study; and to Drs. John Treanor and Robert Betts for their thoughtful review of the manuscript.

REFERENCES

- Hall CB. Respiratory syncytial virus and parainfluenza virus. *N Engl J Med* 2001;344:1917-28.
- Hall CB, Geiman JM, Biggar R, Kotok DI, Hogan PM, Douglas RG Jr. Respiratory syncytial virus infections within families. *N Engl J Med* 1976;294:414-9.
- Johnson KM, Bloom SF, Mufson MA, Chanock RM. Natural infection of adults by respiratory syncytial virus: possible relation to mild upper respiratory disease. *N Engl J Med* 1962;267:68-72.
- Hart RJC. An outbreak of respiratory syncytial virus infection in an old people's home. *J Infect* 1984;8:259-61.
- Sorvillo FJ, Huie SF, Strassburg MA, Butsumyo A, Shandera WX, Fannin SL. An outbreak of respiratory syncytial virus pneumonia in a nursing home for the elderly. *J Infect* 1984;9:252-6.
- Respiratory syncytial virus infection in the elderly 1976-1982. *Br Med J (Clin Res Ed)* 1983;287:1618-9.
- Vikerfors T, Grandien M, Olcen P. Respiratory syncytial virus infections in adults. *Am Rev Respir Dis* 1987;136:561-4.
- Falsey AR, Cunningham CK, Barker WH, et al. Respiratory syncytial virus and influenza A infections in the hospitalized elderly. *J Infect Dis* 1995;172:389-94.
- Dowell SF, Anderson LJ, Gary HE Jr, et al. Respiratory syncytial virus is an important cause of community-acquired lower respiratory infection among hospitalized adults. *J Infect Dis* 1996;174:456-62.
- Fleming DM, Cross KW. Respiratory syncytial virus or influenza? *Lancet* 1993;342:1507-10.
- Griffin MR, Coffey CS, Neuzil KM, Mitchel EF Jr, Wright PF, Edwards KM. Winter viruses: influenza- and respiratory syncytial virus-related morbidity in chronic lung disease. *Arch Intern Med* 2002;162:1229-36.
- Nicholson KG. Impact of influenza and respiratory syncytial virus on mortality in England and Wales from January 1975 to December 1990. *Epidemiol Infect* 1996;116:51-63.
- Neuzil KM, Maynard C, Griffin MR, Heagerty P. Winter respiratory viruses and health care use: a population-based study in the northwest United States. *Clin Infect Dis* 2003;37:201-7.
- Thompson WW, Shay DK, Weintraub E, et al. Mortality associated with influenza and respiratory syncytial virus in the United States. *JAMA* 2003;289:179-86.
- Morens DM. Influenza-related mortality: considerations for practice and public health. *JAMA* 2003;289:227-9.
- McIntosh K, Kapikian AZ, Turner HC, Hartley JW, Parrott RH, Chanock RM. Seroprevalence studies of coronavirus infection in adults and children. *Am J Epidemiol* 1970;91:585-92.
- Williams JV, Harris PA, Tollefson SJ, et al. Human metapneumovirus and lower respiratory tract disease in otherwise healthy infants and children. *N Engl J Med* 2004;350:443-50.
- La Montagne JR. RSV pneumonia, a community-acquired infection in adults. *Lancet* 1997;349:149-50.
- Simoes EA. Overlap between respiratory syncytial virus infection and influenza. *Lancet* 2001;358:1382-3.
- Management of chronic heart failure. In: Braunwald E, Zipes DP, Libby P, eds. *Heart disease: a textbook of cardiovascular medicine*. Philadelphia: W.B. Saunders, 2001:640-1.
- Lawton MP. The functional assessment of elderly people. *J Am Geriatr Soc* 1971;19:465-81.
- Katz S, Ford AB, Moskowitz RW, Jackson BA, Jaffe MW. Studies of illness in the aged: the index of ADL: a standardized measure of biological and psychological function. *JAMA* 1963;185:914-9.
- Walsh EE, Falsey AR, Swinburne IA, Formica MA. Reverse transcription polymerase chain reaction (RT-PCR) for diagnosis of respiratory syncytial virus infection in adults: use of a single-tube "hanging droplet" nested PCR. *J Med Virol* 2001;63:259-63.
- Walsh EE, Cox C, Falsey AR. Clinical features of influenza A virus infection in older hospitalized persons. *J Am Geriatr Soc* 2002;50:1498-503.
- Falsey AR, Formica MA, Walsh EE. Diagnosis of respiratory syncytial virus infection: comparison of reverse transcription-PCR to viral culture and serology in adults with respiratory illness. *J Clin Microbiol* 2002;40:817-20.
- Falsey AR, Formica MA, Treanor JJ, Walsh EE. Comparison of quantitative reverse transcription-PCR to viral culture for assessment of respiratory syncytial virus shedding. *J Clin Microbiol* 2003;41:4160-5.
- Falsey AR, Treanor JJ, Betts RF, Walsh EE. Viral respiratory infections in the institutionalized elderly: clinical and epidemiologic findings. *J Am Geriatr Soc* 1992;40:115-9.
- Harmon MW, Kendal AP. Influenza viruses. In: Schmidt NJ, Emmons RW, eds. *Diagnostic procedures for viral, rickettsial, and chlamydial infections*. 6th ed. Washington, D.C.: American Public Health Association, 1989:631-68.
- Fleiss JL. *Statistical methods for rates and proportions*. 2nd ed. New York: John Wiley, 1981:113-6.
- Centers for Disease Control and Prevention. Influenza season summaries, 1999-2003. (Accessed April 1, 2005, at <http://www.cdc.gov/flu/weekly/fluactivity.htm>.)
- Nicholson KG, Kent J, Hammersley V, Cancio E. Acute viral infections of upper respiratory tract in elderly people living in the community: comparative, prospective, population based study of disease burden. *BMJ* 1997;315:1060-4.
- Govaert TME, Thijs CTMCN, Masurel N, Sprenger MJW, Dinant GJ, Knotterus JA. The efficacy of influenza vaccination in elderly individuals: a randomized double-blind placebo-controlled trial. *JAMA* 1994;272:1661-5.
- Centers for Disease Control and Prevention. Influenza season summary. (Accessed April 1, 2005, at <http://www.cdc.gov/flu/weekly/fluactivity.htm>.)
- Nichol KL, Margolis KL, Wuonenma J,

Von Sternberg T. The efficacy and cost effectiveness of vaccination against influenza among elderly persons living in the community. *N Engl J Med* 1994;331:778-84.

35. Foster DA, Talsma A, Furumoto-Dawson A, et al. Influenza vaccine effectiveness in preventing hospitalization for pneumonia in the elderly. *Am J Epidemiol* 1992;136:296-307.

36. National Center for Health Statistics, Popovic JR. National Hospital Discharge Survey: annual summary with detailed diagnosis and procedure data. Hyattsville, Md.: National Center for Health Statistics, 2001. (DHHS publication no. (PHS) 2001-1722.)

37. Han LL, Alexander JP, Anderson LJ. Respiratory syncytial virus pneumonia among

the elderly: an assessment of disease burden. *J Infect Dis* 1999;179:25-30.

38. Zambon MC, Stockton JD, Clewley JP, Fleming DM. Contribution of influenza and respiratory syncytial virus to community cases of influenza-like illness: an observational study. *Lancet* 2001;358:1410-6.

Copyright © 2005 Massachusetts Medical Society.